



Attorney's Docket No. 047516/273802

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In  
Appl. No.: 10/821,118  
Filed: 04/08/2004  
For: FINANCIAL INSTRUMENT TRADING SYSTEM, METHOD AND  
COMPUTER PROGRAM PRODUCT

Confirmation No.: 8216  
Group Art Unit: 3624  
Examiner: N/A

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## SUPPLEMENTAL CITATION UNDER 37 C.F.R. § 1.97

Attached is a Supplemental Form PTO-1449 listing several documents that were first cited in the British Search Report for the corresponding British Application Number GB0507205.3 not more than three months prior to the filing of this Statement. In this regard, Applicant notes that the British Search Report was not received by any individual designated by 37 CFR 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

The Search Report is enclosed along with any cited foreign patent documents and non-patent literature documents in accordance with 37 CFR 1.98(a)(2).

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead identifying the listed documents for the sake of full disclosure.

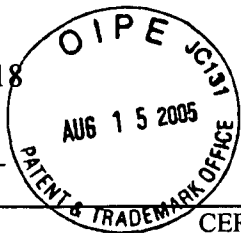
Respectfully submitted,

  
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.F./

In re: Leibowitz  
Appli. No.: 10/821,118  
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 11, 2005

*Lisa L. Rone*

Lisa L. Rone

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	1
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**Complete if Known**

Application Number	10/821,118
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Filing Date	04/08/2004
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First Named Inventor	Leibowitz
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Group Art Unit	3624
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Examiner Name	N/A
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Attorney Docket Number	047516/273802
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## U. S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

## OTHER DOCUMENTS

Examiner  
Signature

CONSIDERED: /B.F./

Date  
Considered

03/14/2008

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.F./